

*sector*  
*\$*  
BOX MISSING PARTS

PATENT

1781-0212P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Tao XIA et al.  Conf.: 8743

Appl. No.: 09/742,405 Group: Unknown

Filed: December 22, 2000 Examiner: UNKNOWN

For: WAVELET-ENHANCED AUTOMATED FINGERPRINT  
IDENTIFICATION SYSTEM

**THIS IS NOT A NEW PATENT APPLICATION**

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

March 9, 2001

Sir:

The application papers for the above-identified application were originally filed on December 22, 2000 and the application was assigned Appl. No. 09/742,405.

**DOCUMENTATION**

- Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) ( original  photocopy), necessary for completing the filing requirements in connection with the above-identified application.
- Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was

filed in the U.S. Patent and Trademark office on December 22, 2000, including any amendments thereto (if applicable) filed on even date therewith.

The undersigned hereby declares that "Attorney Docket No. 1781-0212P on page 1 of the attached inventors' Declaration, corresponds to Appl. No. 09/742,405, filed December 22, 2000, entitled "WAVELET-ENHANCED AUTOMATED FINGERPRINT IDENTIFICATION SYSTEM."

Attached are five (5) sheet(s) of formal drawings.

Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.

Attached is an English language translation of the above-identified application that was filed in a foreign language.

See the attached Translator's Verification; or

The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.

Applicant claims small entity status under 37 C.F.R. § 1.27.

Submitted concurrently herewith **under separate cover** for recording is an Assignment.

**FEES**

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d), if applicable) is/are attached hereto and calculated as follows:

- Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- The Government Filing Surcharge under 35 U.S.C. § 1.16(e)) for late filing of filing fee, oath and/or declaration:
  - Large Entity - \$130.00
  - Small Entity - \$ 65.00)
- The Government Filing Surcharge under 37 C.F.R. § 1.17(k)) for filing of translation of non-English Specification in the amount of \$130.00:
  - was previously paid for concurrently with the filing of the application on .
  - is attached hereto.
- No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.
- Applicant hereby respectfully petitions for a ( ) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

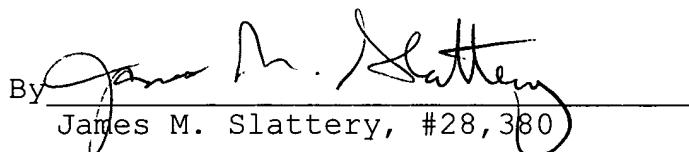
A check in the amount of \$65.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fees (if applicable) is enclosed.

Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
James M. Slattery, #28,380

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

JMS/JES:ewd  
1781-0212P

Attachments

(Rev. 01/22/01)

PATENT  
1781-0212P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Tao XIA et al.

Conf.: 8743

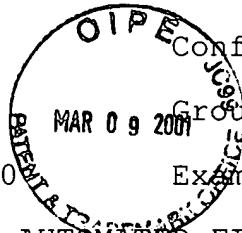
Appl. No.: 09/742,405

Group: Unknown

Filed: December 22, 2000

Examiner: UNKNOWN

For: WAVELET-ENHANCED AUTOMATED FINGERPRINT  
IDENTIFICATION SYSTEM



LETTER TO THE OFFICIAL DRAFTSPERSON

Assistant Commissioner for Patents  
Washington, DC 20231

March 9, 2001

Sir:

Attached hereto is/are five (5) sheet(s) of formal drawings which comply with the provisions of 37 C.F.R. § 1.84. The drawings should be made a part of the record of the above-identified application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §§1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By James M. Slattery  
James M. Slattery, #28,380

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

JMS/JES:ewd  
1781-0212P

Attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

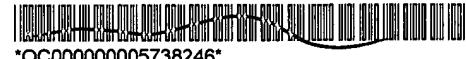
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/742,405	12/22/2000	Tao Xia	1781-0212P

2292  
BIRCH STEWART KOLASCH & BIRCH  
8110 GATEHOUSE ROAD  
SUITE 500 EAST  
FALLS CHURCH, VA 22042



CONFIRMATION NO. 8743

FORMALITIES LETTER



\*OC000000005738246\*

Date Mailed: 02/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
  
- o **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/12/2001 SSANDRA 00000115 09742405  
65.00 GP  
01 FC:205

*A copy of this notice **MUST** be returned with the reply.*

*Seble Belkale*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY